

10/579500

AP20 Receipt PTO 16 MAY 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Anuradha MEHTA *et al.*

Art Unit: To Be Assigned

Appl. No.: To Be Assigned

Examiner: To Be Assigned

Filed: May 16, 2006

Confirmation No. To Be Assigned

For: Methods and Agents for Screening for  
Compounds Capable of Modulating  
Her2 Expression

Atty Docket. 19025.053

**Information Disclosure Statement**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The attention of the Examiner is invited to consider the references listed on the attached Form PTO-1449. Copies of the references are submitted herewith.

Copies of the U.S. patents and published applications listed on the attached Form PTO-1449 are not submitted herewith, in accordance with the Strategic Plan Final Rule, 69 Fed. Reg 56481-56547 (September 21, 2004), effective October 21, 2004.

It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

*Information Disclosure Statement* May 2006  
**Certification and/or Fee**

Because this Information Disclosure Statement is being submitted prior to issuance of the first action on the merits of the above-captioned application, no certification or fee is required.

Respectfully submitted,

*Kristan Lansbery*

David R. Marsh (Reg. No. 41,408)  
Kristan L. Lansbery (Reg. No. 53,183)

Date: May 16, 2006

ARNOLD & PORTER LLP  
555 Twelfth Street, N.W.  
Washington, D.C. 20004-1206  
(202) 942-5000 telephone  
(202) 942-5999 facsimile

| FORM PTO-1449<br><u>INFORMATION DISCLOSURE STATEMENT</u>  |     | ATTY. DOCKET NO.  |         | APPLICATION NO.        |                 |           |             |
|---|-----|---|---------|------------------------|-----------------|-----------|-------------|
|   |     | 19025.053   |         | To Be Assigned         |                 |           |             |
|   |     | APPLICANTS  |         |                        |                 |           |             |
|   |     | Anuradha MEHTA <i>et al.</i>  |         |                        |                 |           |             |
| FILING DATE   |     | GROUP   |         |                        |                 |           |             |
| May 16, 2006  |     | To Be Assigned  |         |                        |                 |           |             |
| U.S. PATENT DOCUMENTS   |     |   |         |                        |                 |           |             |
| EXAMINER INITIAL  |     | DOCUMENT NUMBER   | DATE    | NAME                   | CLASS           | SUB-CLASS | FILING DATE |
|   | AA1 | 6,465,176 B1  | 10/2002 | Giordano <i>et al.</i> |                 |           |             |
|   | AB1 | 6,630,589 B1  | 10/2003 | Giordano <i>et al.</i> |                 |           |             |
|   | AC1 | 2005/0048549 A1   | 03/2005 | Cao <i>et al.</i>      |                 |           |             |
| FOREIGN PATENT DOCUMENTS  |     |   |         |                        |                 |           |             |
| EXAMINER INITIAL  |     | DOCUMENT NUMBER   | DATE    | COUNTRY                | CLASS           | SUB-CLASS | TRANSLATION |
|   | AD1 | WO 97/025860  | 07/1997 | WIPO                   |                 |           | Yes<br>No   |
|   | AE1 | WO 02/083953A1  | 10/2002 | WIPO                   |                 |           | Yes<br>No   |
|   | AF1 | WO 02/077609 A2   | 10/2002 | WIPO                   |                 |           | Yes<br>No   |
|   | AG1 | WO 04/065561 A2   | 08/2004 | WIPO                   |                 |           | Yes<br>No   |
| OTHER (Including Author, Title, Date, Pertinent Pages, etc.)  |     |   |         |                        |                 |           |             |
|   | AH1 | Asano <i>et al.</i> , "The Translation Initiation Factor eIF3-p48 Subunit Is Encoded by <i>int-6</i> , a Site of Frequent Integration by the Mouse Mammary Tumor Virus Genome", <i>The Journal of Biological Chemistry</i> , 272(38):23477-23480 (1997) |         |                        |                 |           |             |
|   | AI1 | International Search Report issued in PCT/US2004/038496 mailed on March 7, 2005   |         |                        |                 |           |             |
|   | AJ1 | Lal <i>et al.</i> , "Concurrent Versus Individual Binding of HuR and AUFI to Common Labile Target mRNAs", <i>The EMBO Journal</i> 23:3092-3102 (2004)   |         |                        |                 |           |             |
|   | AK1 | Li <i>et al.</i> , "Targeting HER-2/neu-Overexpressing Breast Cancer Cells by an Antisense Iron Responsive Element-Directed Gene Expression", <i>Cancer Letters</i> 174:151-158 (2001)  |         |                        |                 |           |             |
|   | AL1 | Millard <i>et al.</i> , "A U-Rich Element in the 5' Untranslated Region Is Necessary for the Translation of p27 mRNA", <i>Molecular and Cellular Biology</i> , 20(16):5947-5959 (2000)  |         |                        |                 |           |             |
|   | AM1 | Morris <i>et al.</i> , "Upstream Open Reading Frames as Regulators of mRNA Translation", <i>Molecular and Cellular Biology</i> 20(23):8635-8642 (2000)  |         |                        |                 |           |             |
|   | AO1 | Pesole <i>et al.</i> , "Structural and Functional Features of Eukaryotic mRNA Untranslated Regions", <i>Gene</i> 276:73-81 (2001)   |         |                        |                 |           |             |
| EXAMINER  |     |   |         |                        | DATE CONSIDERED |           |             |
| <b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. |     |   |         |                        |                 |           |             |